



Docket No. 4034-22

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Thomas C. Naratil

Serial No. 09/617,853

Group No.: 2761

Filed: July 17, 2000

Examiner: Not yet Assigned

For: System For Trading Fixed Income Financial Instruments: U.S. Treasury Securities, Liquid Agencies And Zero Coupon Strips

Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

- Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
- There is an error with respect to the following data, which is:

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omitted.

Error in

1. ☐

Applicant's name

2. ☐

Applicant's address

3. ☒

Title

4. ☐

Filing Date

5. ☐

Serial Number

6. ☐

Other—Attorney Docket No.

1.

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3.

System For Trading Fixed Income
Financial Instruments: U.S. Treasury
Securities, Liquid Agencies And Zero
Coupon Strips

4.

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3. (complete the following applicable item)

A. ☒

The correction(s) is/are not due to any error by applicant and no fee is due.

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At least one of the above corrections is due to applicant's error and the fee therefor, under 37 C.F.R. 1.19(h), of \$25.00 is paid as follows:

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Leslie Gladstone Restaino
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Leslie Gladstone Restaino

(type or print name of practitioner)

One Speedwell Avenue, Morristown, NJ 07962

P.O. Address

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Date: November 14, 2000

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Angela L. Gehring
Signature

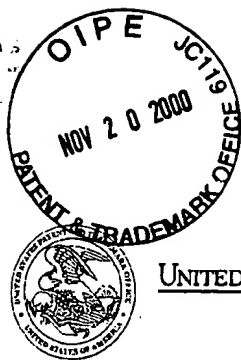
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 09/617,853 | 07/17/2000 | 2761 | 690 | 4034-22 | 3 | 15 | 3 |

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Applicant(s)

Thomas C. Naratil, Warren, NJ ;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/05/2000

Title

System for trading fixed income financial instruments: U.S. treasury securities, liquid agencies and zero coupon strips

Preliminary Class

705

Data entry by : HASSANI, NASIMA

Team : OIPE

Date: 10/18/2000





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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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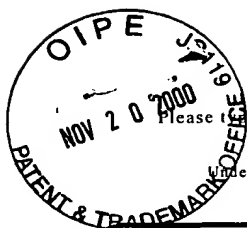
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

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|------------------------|-------------------|
| Application Number | 09/617,853 |
| Filing Date | 7/17/2000 |
| First Named Inventor | Thomas C. Naratil |
| Group Art Unit | 2761 |
| Examiner Name | Not yet assigned |
| Attorney Docket Number | 4034-22 |

ENCLOSURES (check all that apply)

- | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Petitions to the Commissioner <input checked="" type="checkbox"/> Request For Corrected Filing Receipt With A Copy Of the Official Receipt <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement Form PTO-1449 ____ References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) - ____ Sheets <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Withdrawal of attorney as Attorney or Agent <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div>Return Receipt Postcard</div> |
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| | |
|-------------------------|--------------------------------------------|
| Firm or Individual name | Leslie Gladstone Restaino, Reg. No. 38,893 |
| Signature | <i>Leslie Gladstone Restaino</i> |
| Date | November 14, 2000 |

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Bib Data Sheet

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|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|-------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|--------------------------------|
| SERIAL NUMBER 09/617,853 | FILING DATE 07/17/2000 RULE - | CLASS 705 | GROUP ART UNIT 2761 | ATTORNEY DOCKET NO. 4034-22 | |
| APPLICANTS Thomas C. Naratil, Warren, NJ ; ** CONTINUING DATA ***** ** FOREIGN APPLICATIONS ***** | | | | | |
| IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 09/05/2000 | | | | | |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____ | | STATE OR COUNTRY NJ | SHEETS DRAWING 3 | TOTAL CLAIMS 15 | INDEPENDENT CLAIMS 3 |
| ADDRESS Riker Danzig Scherer Hyland & Perretti LLP Headquarters Plaza One Speedwell Avenue Morristown, NJ 07962 | | | | | |
| TITLE System for trading fixed income financial instruments: U.S. treasury securities, liquid agencies and zero coupon strips | | | | | |
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